



# ANNUAL REPORT FY 2018

Ohio Occupational Therapy, Physical Therapy, and Athletic Trainers Board

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# MISSION OF THE BOARD

The mission of the Ohio Occupational Therapy, Physical Therapy, and Athletic Trainers Board is to actively promote and protect the health of Ohioans through effective regulation of the professions of occupational therapy, physical therapy, athletic training, orthotics, prosthetics, and pedorthics.

Goals to achieve this mission include:

- Ensuring that individuals practicing occupational therapy, physical therapy, athletic training, orthotics prosthetics, and pedorthics meet sufficient standards of education, training, competence, and ethics, as defined in the laws and rules governing the profession.
- Investigate and discipline licensees whose practice falls below the minimal standards of care.
- Define and advocate for standards of safe OT, PT, AT, OPP practice, and ensure that the laws and rules governing the practice accurately reflect current standards.
- Provide information about the licensees of the OTPTAT Board, the Board's functions and operations, and the laws governing the practice of OT, PT, AT, and OPP.
- Achieve and maintain the highest possible levels of organizational efficiency.

*The primary mission of the OTPTAT Board is public protection. This is accomplished through effective assurance that professionals meet entry-level requirements to practice in Ohio and that the license holders follow the law and uphold professional integrity.*

## History of the Board

In 1976, the 111<sup>th</sup> General Assembly established the Ohio Occupational Therapy Board for the purpose of licensing and regulating occupational therapists and occupational therapy assistants within Ohio. Chapter 4755. of the Ohio Revised Code was amended in 1977 creating the Ohio Occupational Therapy and Physical Therapy Board. Prior to 1977, the Medical Board regulated physical therapists. Physical therapist assistants were also included in the 1977 legislation, which established the Physical Therapy Section. With the enactment of Senate Bill 80 in 1990, Chapter 4755. of the Ohio Revised Code was again amended to include the Athletic Trainers Section, thus creating the Ohio Occupational Therapy, Physical Therapy, and Athletic Trainers Board. Finally, with House Bill 49 of the 132<sup>nd</sup> General Assembly, the board took on oversight of orthotics, prosthetics, and pedorthics in 2018. These professions are governed by Chapter 4779 of the Ohio Revised Code.



## Duties of the Board

The Ohio Revised Code authorizes the Board to license practitioners of physical therapy, occupational therapy, athletic training, orthotics, prosthetics, and pedorthics either through examination or reciprocity. The licensure process involves evaluating the applicant's credentials and formal education, implementing testing procedures, and conducting hearings, if needed. The Board is also empowered to investigate complaints and concerns relative to alleged violations of Chapters 4755 and 4779. of the Ohio Revised Code, which can result in the suspension or revocation of a license, or the refusal to issue or renew a license. In addition, the Board is permitted to subpoena witnesses in connection with investigations, make applications to courts when appropriate, and issue injunctions, restraining orders, or other actions, as may be appropriate and granted by a court. These essential functions are accomplished by a team of 9.5 FTEs and payroll drives the bulk of the agency's budget.

# FINANCIAL SUMMARY

Payroll drives the OTPTAT Board budget, comprising 72-73% of the total spend. With collective bargaining payroll increases and an additional pay period in FY 2020, it is difficult for the Board to avoid needing an increase in appropriation. The Board does its best to find cost containment in other areas of the budget, such as limiting travel expenses and leveraging the eLicense system to conduct electronic communications in alternative to mailings. But these savings cannot total enough to make up for the payroll increases. This is especially so when some of the other costs are difficult to predict, such as costs for administrative 119 enforcement hearings and costs for accommodations for taking the national exam. In some years, these costs could be small, but just a few expensive cases can be costly to the Board and break the budget.

AGENCY SUMMARY BY CATEGORY	FY16 Actual	FY17 Actual	FY18 Actual	FY19 Estimated
Personal Services	\$567,453	\$660,104	\$604,422	\$777,400
Purchased Personal Services	\$17,256	\$18,081	\$7,849	\$22,000
Supplies and Maintenance	\$164,027	\$183,103	\$199,968	\$260,077
Transfers and Non-Expense	\$0	\$0	\$100	\$0
<b>TOTALS</b>	<b>\$748,736</b>	<b>\$861,288</b>	<b>\$812,339</b>	<b>\$1,059,477</b>

## Current Fees Charged

Category	Fee	Category	Fee
OT/OTA Application	\$100	OT/OTA Renewal	\$70
OT/OTA Reinstatement Application	\$100	OT/OTA License Verification	\$15
PT/PTA Application	\$100	PT/PTA Renewal	\$70
PT/PTA Reinstatement Application	\$100	PT/PTA License Verification	\$15
AT Application	\$100	AT Reinstatement Application	\$100
AT Renewal	\$70	AT CE Approval	\$25
AT License Verification	\$15	Duplicate Wall Certificate	\$10
OPP Application	\$100	OPP renewal	\$70
OPP Reinstatement Application	\$100	OPP License verification	\$15
OPP License Consolidation/Upgrade	\$50	OPP CE Approval	\$25
OT/OTA CE Approval	\$25		

# BOARD MEMBERS AND STAFF

The Board is comprised of three sections that enforce the practice act of their respective profession. The Occupational Therapy Section consists of four occupational therapists and one occupational therapy assistant. The Physical Therapy Section consists of nine physical therapists, while the Athletic Trainers Section has four athletic trainers and one physician. The three sections combine for the joint board to discuss budgetary and administrative matters of the state agency. At the joint board, all Athletic Trainer and Occupational Therapy Section members serve, as well as five Physical Therapy Section members and one public member. Members are paid an hourly rate for each hour employed in the discharge of official duties. In addition, all members are reimbursed according to state travel rules for travel expenses. The Orthotics Prosthetics and Pedorthics Advisory Council also convenes to advise the Joint Board on activities related to those professions.

In FY 2018, the Board employed nine full-time employees. The Executive Director oversees day-to-day operations. The table of organization includes:

<i>Position</i>	<b># of Full-Time Equivalents</b>
Executive Director	1
Executive Assistant	1
Office Assistant/Receptionist	1
Licensing Coordinator	3
Enforcement Division Supervisor	1
Investigator	1
Paralegal	1

## Licensure Activities

The licensure responsibilities of the three sections of the Board ensure that only individuals who meet certain standards of education, training, and competency are licensed in Ohio.

Number of License Holders by Fiscal Year

License	2012	2013	2014	2015	2016	2017	2018
OT	4,849	4,871	5,233	5,548	5,732	5,661	6,150
OTA	3,474	3,771	4,102	4,479	4,470	4,694	5,058
PT	7,824	8,459	8,604	9,185	9,387	9,795	9,888
PTA	6,299	6,597	7,122	7,613	8,060	7,943	8,433
AT	2,250	2,235	2,509	2,815	2,903	2,753	2,985
LO							78
LP							64
LPED							81
LPO							122
<b>TOTAL</b>	<b>24,696</b>	<b>25,933</b>	<b>27,570</b>	<b>29,640</b>	<b>30,552</b>	<b>30,846</b>	<b>32, 859</b>
<b>% Increase</b>	<b>5.68%</b>	<b>5.01%</b>	<b>6.31%</b>	<b>7.50%</b>	<b>5.28%</b>	<b>1%</b>	<b>6.5%</b>



## Enforcement Activity

To ensure compliance with Chapters 4755 and 4779. of the Revised Code, the Board employs three investigators to investigate complaints and conduct investigations. All complaints made to the Board must be in writing. When the Board receives a complaint, a case number is assigned and an investigator will determine whether the facts in the case support the alleged violation.

The investigator provides all information and a recommendation to the investigative review panel of the appropriate section upon completion of the investigation. The investigative review panel then reviews the complaints to determine if any disciplinary action is needed. The chart below gives a snapshot of some of the enforcement activity from FY 2018.

	Occupational Therapy	Physical Therapy	Athletic Trainers	Total
Investigations Initiated of Potential Violations of the Practice Act	35	48	8	91
<b>Consent Agreements</b>				
Reprimands	1	1	2	4
Fines	2	3	2	7
On-going Monitoring	9	14	2	25
Probation	5	2	0	7
Suspension	0	2	0	2
Disciplinary License Surrender/Revocation	0	3	2	5
<b>R.C. 119. Hearings</b>				
Permanent Revocation	0	2	0	2
Suspension or Probation	1	3	0	4
Application Denial	0	0	0	0
No Disciplinary Action Taken	0	0	0	0
<i>Evidentiary Reviews in Lieu of R.C. 119. Hearing</i>				
Revocation	1	2	0	3
Suspension	0	0	0	0
Fine and Written Reprimand	0	0	0	0
Application Denial	0	3	0	3
No Disciplinary Action Taken	0	1	0	1
<i>Cease and Desist Orders</i>	0	0	0	0
<i>Non-Disciplinary Resolutions</i>	9	6	1	16
<i>No Violations Found</i>	12	24	7	43
<i>Cases Not Yet Resolved</i>	10	8	0	18

**Occupational Therapy Section****Board Member**

Beth Ann Ball, OT, MS, OTR/L  
 Joanne Phillips Estes, OT, Ph.D, Secretary  
 Mary Lavey, OTA, COTA  
 Anissa Siefert, MOT, OTR/L, Chair  
 Melissa Van Allen, OT, OTR/L

<u>City</u>	<u>Term Expires*</u>	<u>Term^</u>
Worthington	2021	3rd
Cincinnati	2019	1st
Fremont	2021	3rd
New Washington	2019	1st
Columbus	2020	1st

**Physical Therapy Section****Board Member**

Lynn Busdeker, PT, DPT  
 Anthony Ganim, PT, OCS, MBA  
 Erin Hofmeyer, PT, DPT, Chair  
 Ronald Kleinman, PT  
 Timothy McIntire, PT, DPT  
 Karen McIntyre, PT, DPT  
 C.J. Miller, PT, AT  
 Linda Pax Lowes, PT, Ph.D  
 Jennifer Wissinger, PT, DPT, Secretary

<u>City</u>	<u>Term Expires*</u>	<u>Term^</u>
Tiffin	2020	3rd
Hilliard	2021	1st
Cleves	2020	2nd
Fairlawn	2020	3rd
Washington Twp	2020	2nd
Avon	2021	1st
Marengo	2019	2nd
Columbus	2021	1st
Pataskala	2019	2nd

**Athletic Trainers Section****Board Member**

Trevor Bates, AT, DHSc, Chair  
 Jason Dapore, DO, Secretary  
 Hollie Kozak, M.Ed, AT  
 Gary Lake, AT, M. Ed  
 Jeff Sczpanski, AT, M.Ed.

<u>City</u>	<u>Term Expires*</u>	<u>Term^</u>
Sylvania	2020	1st
Columbus	2019	3rd
Willoughby	2019	1st
Wadsworth	2021	1st
Galloway	2020	1st

**Public Member****Board Member**

Trevor Vessels

<u>City</u>	<u>Term Expires*</u>	<u>Term^</u>
Columbus	2020	3rd

**Orthotics, Prosthetics, and Pedorthics****Advisory Council Members**

Tamara Daulton, LPED, Secretary  
 Jeffrey Hagman, LP  
 Derek Kinsella, LPO  
 Brian Weaver, LPO, Chairman  
 Don Weldon, LO

<u>City</u>	<u>Term Expires*</u>	<u>Term^</u>
Batavia	2019	1st
Shaker Heights	2019	1st
Lancaster	2021	2nd
Grove City	2020	1st
Canfield	2020	1st

**Joint Board Officers**

Beth Ann Ball, OT, President  
 Hollie Kozak, M.Ed, AT, Vice-President  
 Lynn Busdeker, PT, Secretary



## Operational Changes:

The Board already has scheduled some operational improvements for the FY 20-21 biennium, including the implementation of an ethics, laws and rules module to be completed for all physical therapist and physical therapist assistant renewals, process changes for new physical therapy licenses which will make it easier and faster to be eligible to take the national exam, and the elimination of the option for occupational therapy licenses to be escrowed (an option that was confusing to many and burdensome for the staff to administer). The Board will also continue to work toward full integration of the orthotics, prosthetics, and pedorthics licenses.

The Board also plans a complete review of laws and rules, which are overdue for updating. A rules reorganization is planned for the next biennium, and statute improvements are also being contemplated. This includes an alignment of Chapter 4779 of the revised code governing orthotics, prosthetics, and pedorthics with Chapter 4755, which governs the other OTPTAT professions.

Finally, the Board is pursuing operational improvements that will involve reporting metrics for its key functions: customer service, licensure, and enforcement. Such metrics increase accountability for the public and license holders. A review of customer service standards is important now that the Board has converted to eLicense and many of the internal processes have changed.

